

Improving Targeting for Services in Upward Bound



Department of Education's
Proposed Plan

Background Information

Call for Change

- Upward Bound (UB) determined to be “ineffective” overall, as currently administered.
- Overall finding = no difference in HS graduation or enrollment in college between UB students and non-UB students
- Program has significant impacts on some students i.e. those without expectation of completing a bachelor’s degree



Call for A Change

- Many students remain in UB for only a short time (19 Months)
- Provide \$\$ for Work Study Provision

Background Information

UB Participant Expansion Initiative

- Started in FY 2000
- Designed to serve “more at-risk” students*
- 184 grantees participated (24% of all grantees)
- Participation was optional

** identified by whether students attended a target school where at least 50% of students were eligible for the National School Lunch Program.*

Current Administration Goals for Upward Bound

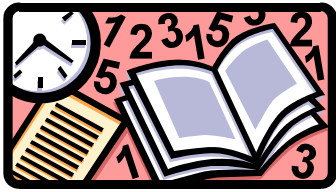
- UB should focus more on helping students who are at greater risk of dropping out of HS and failing to enroll in postsecondary education.
- FY 2003 = best time to implement change



Proposed Plan

Step 1

Focus FY03 projects on selecting students with academic need in an invitational priority.



Step 1 ...

Some Indicators of **Academic Need**

- GPA in C or D range as a HS freshman
- Few credits earned in core academic subjects like English, math, science, social studies, foreign language
- Potential to pursue postsecondary education, but no plans to do so

Other Indicators

- Attendance records.
- Test scores in subject areas; reading, math, etc.
- Trend in grades.

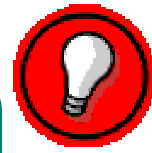
Step 1...

Required of Applicants

- Include a strategy to serve higher risk students *and* criteria used to select such students.
- Respond to invitational priority in each section of the application.



Proposed Plan



Step 2

Address participant selection in technical assistance workshops provided to potential FY03 Upward Bound applicants.

Technical Assistance Workshops

- Boston University, October 1
- University of San Francisco, October 1
- Morris Brown College, October 3
- University of Chicago, October 3
- California State University, LA, October 3
- University of Colorado/Denver, October 8
- University of Puerto Rico, October 10
- University of Washington, October 10
- Trinity University, October 10
- Washington, DC, October 23

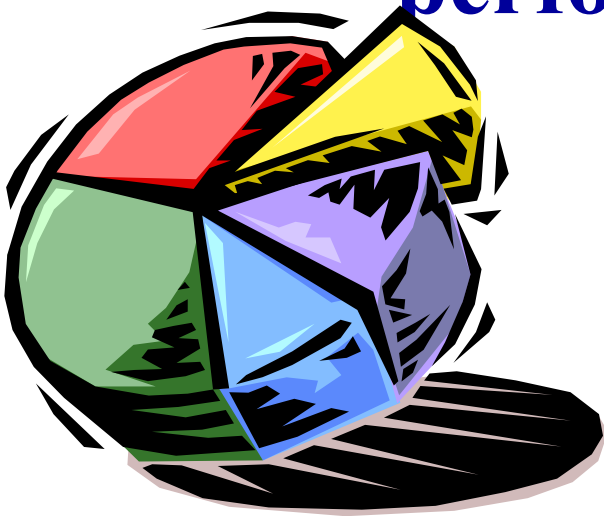
Expansion Initiative Participation

- How did you identify participants?
- What are some of the problems that you had to address in recruitment and programmatic?
- How did the addition of these participants impact your program?
- Suggestions to those that will recruit targeted population.

Proposed Plan

Step 3

**Conduct more rigorous analysis of
Upward Bound evaluation and
performance report data**



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Step 3 ...

- Data used to refine participant selection criteria.
- Will help with determining whether participants selected for UB have changed since evaluation was completed.
- Will help ED and OMB decide whether negotiated rulemaking is needed.



Proposed Plan



Step 4

Strengthen the criteria for determining “need for academic support” in the regulations through negotiated rulemaking.

Step 4 ...

Actions that Need to be Taken

- Announce intention in *Federal Register* to establish negotiating committee, structure of committee, topics to be negotiated, etc.
- Select non-Federal negotiators
- Reach working agreement on scope of changes
- Hold negotiating sessions
- Receive public comment
- Publish final regulation



Proposed Plan

Step 5

Seek statutory changes, if necessary

- If no consensus/majority can be achieved during negotiated rulemaking, look to make a statutory change during HEA reauthorization

Schedule for Upward **Bound Competition**

- **August 2002** - ED finalizes the proposed application package & invitational priority
- **August 2002** - OMB approves the application package
- **Sept 2002** – Announcement in *Federal Register*
- **Oct 2002** - Technical Assistance Workshops (10)
- **Nov 2002** - Upward Bound Math and Science Program application deadline
- **Dec 2002** - Regular/Veterans Upward Bound Programs application deadline

Schedule for Upward

Bound Competition Cont'd

- **Jan 2003** - Upward Bound Math and Science Program Field Reading
- **Feb 2003** - Regular/Veterans Upward Bound Programs Field Reading
- **March - May 2003** - Processing Field Reading Results and Slate Preparation for Upward Bound Math and Science, Regular and Veterans Upward Bound
- **May 31, 2003** - Obligate Grant Awards for the Upward Bound Math and Science, Regular and Veterans Upward Bound and McNair Programs (If successful, 203 Regular Upward Bound projects start their new cycle June 1, 2003)